

FORM 11
TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS
[Secs. 505(a)(2)(B)(iii) and 506(a)(2)(A)(iii)]

SERVICE LEVEL: DIRECT HEALTH CARE

(Infrastructure Building, Population-Based, Enabling , or Direct Health Care)

	Annual Objective and Performance Data				
PERFORMANCE MEASURE # 1	FY__	FY__	FY__	FY__	FY__
<i>The percent of State SSI beneficiaries less than 16 years old receiving rehabilitative services from the State Children with Special Health Care Needs (CSHCN) Program. (Capacity)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
PERFORMANCE MEASURE # 2					
<i>The degree to which the State CSHCN program provides or pays for specialty and subspecialty services, including care coordination, not otherwise accessible or affordable to its clients. (Capacity)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
PERFORMANCE MEASURE # _____					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

FORM 11 (Continuation Page)
TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS

SERVICE LEVEL: _____
 (Infrastructure Building, Population-Based, Enabling, Direct Health Care)

<u>PERFORMANCE MEASURE #</u>	FY__	<u>Annual Objective and Performance Data</u>			FY__
		FY__	FY__	FY__	
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

FORM 11 (Continuation Page)
TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS OF THE PYRAMID

SERVICE LEVEL: _____
 (Infrastructure Building, Population-Based, Enabling, Direct Health Care)

<u>PERFORMANCE MEASURE #</u>	<u>Annual Objective and Performance Data</u>				
	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

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TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS

SERVICE LEVEL: ENABLING SERVICES

(Infrastructure Building, Population-Based, Enabling , or Direct Health Care)

<u>PERFORMANCE MEASURE 3</u>	FY__	<u>Annual Objective and Performance Data</u>			FY__
<i>The percent of Children with Special Health Care Needs (CSHCN) in the State who have a “medical/health home” (Capacity)</i>		FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

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TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS OF THE PYRAMID

SERVICE LEVEL: _____
 (Infrastructure Building, Population-Based, Enabling, Direct Health Care)

<u>PERFORMANCE MEASURE #</u>	FY__	<u>Annual Objective and Performance Data</u>			FY__
		FY__	FY__	FY__	
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

FORM 11 (Continuation Page)
TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS
[Secs. 505(a)(2)(B)(iii) and 506(a)(2)(A)(iii)]

SERVICE LEVEL: POPULATION-BASED

(Infrastructure Building, Population-Based, Enabling , or Direct Health Care)

<u>PERFORMANCE MEASURE # 4</u>	FY__	FY__	<u>Annual Objective and Performance Data</u>		
<i>Percent of newborns in the State with at least one screening for each of PKU, hypothyroidism, galactosemia, hemoglobinopathies (e.g. the sickle cell diseases) (combined). (Risk Factor)</i>			FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # 5</u>					
<i>Percent of children through age 2 who have completed immunizations for measles, Mumps, Rubella, Polio, Diphtheria, Tetanus, Pertussis, Hemophilus Influenza, and Hepatitis B. (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # 6</u>					
<i>The birth rate (per 1,000) for teenagers aged 15 through 17 years. (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

FORM 11 (Continuation Page)
TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS

SERVICE LEVEL: POPULATION-BASED

(Infrastructure Building, Population Based, Enabling, Direct Health Care)

<u>PERFORMANCE MEASURE # 7</u>	FY__	FY__	<u>Annual Objective and Performance Data</u>		
<i>Percent of third grade children who have received protective sealants on at least one permanent molar tooth. (Risk Factor)</i>			FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # 8</u>					
<i>The rate of deaths to children aged 1 through 14 caused by motor vehicle crashes per 100,000 children. (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # 9</u>					
<i>Percentage of mothers who breastfeed their infants at hospital discharge. (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

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TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS OF THE PYRAMID

SERVICE LEVEL: POPULATION-BASED
(Infrastructure Building, Population-Based, Enabling, Direct Health Care)

<u>PERFORMANCE MEASURE # 10</u> <i>Percentage of newborns who have been screened for hearing impairment before hospital discharge.</i>	FY__	<u>Annual Objective and Performance Data</u>			FY__
		FY__	FY__	FY__	
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

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TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS OF THE PYRAMID

SERVICE LEVEL: _____
(Infrastructure Building, Population-Based, Enabling, Direct Health Care)

<u>PERFORMANCE MEASURE #</u>	<u>Annual Objective and Performance Data</u>				
	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

FORM 11 (Continuation Page)
TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS
[Secs. 505(a)(2)(B)(iii) and 506(a)(2)(A)(iii)]

SERVICE LEVEL: INFRASTRUCTURE BUILDING
(Infrastructure Building, Population-Based, Enabling , or Direct Health Care)

			<u>Annual Objective and Performance Data</u>		
	FY__	FY__	FY__	FY__	FY__
<u>PERFORMANCE MEASURE # 11</u>					
<i>Percent of Children with Special Health Care Needs (CSHCN) in the State CSHCN program with a source of insurance for primary and specialty care. (Capacity)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # 12</u>					
<i>Percent of children without health insurance. (Capacity)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # 13</u>					
<i>Percent of potentially Medicaid eligible children who have received a service paid by the Medicaid Program. (Process)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS

SERVICE LEVEL: INFRASTRUCTURE BUILDING

(Infrastructure Building, Population-Based, Enabling, Direct Health Care)

	<u>Annual Objective and Performance Data</u>				
PERFORMANCE MEASURE # 14	FY__	FY__	FY__	FY__	FY__
<i>The degree to which the State assures family participation in program and policy activities in the State CSHCN program. (Process)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
PERFORMANCE MEASURE # 15					
<i>Percent of very low birth weight live births. (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
PERFORMANCE MEASURE # 16					
<i>The rate (per 100,000) of suicide deaths among youths aged 15-19 (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

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TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS

SERVICE LEVEL: INFRASTRUCTURE BUILDING
(Infrastructure Building, Population Based, Enabling, Direct Health Care)

	<u>Annual Objective and Performance Data</u>				
	FY__	FY__	FY__	FY__	FY__
<u>PERFORMANCE MEASURE # 17</u>					
<i>Percent of very low birth weight infants delivered at facilities for high-risk deliveries and neonates. (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # 18</u>					
<i>Percent of infants born to pregnant women receiving prenatal care beginning in the first trimester. (Risk Factor)</i>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE # _____</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

TRACKING PERFORMANCE MEASURES BY SERVICE LEVELS

SERVICE LEVEL: _____
(Infrastructure Building, Population-Based, Enabling, Direct Health Care)

<u>PERFORMANCE MEASURE #</u>	<u>Annual Objective and Performance Data</u>				
	FY__	FY__	FY__	FY__	FY__
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
<u>PERFORMANCE MEASURE #</u>					
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Performance Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____

INSTRUCTIONS FOR THE COMPLETION OF FORM 11 PERFORMANCE MEASURE TRACKING

Title V Citation:

Section 505(a)(2)(B)(i & iii) requires the States to submit an application that includes, "...a statement of the goals and objectives consistent with the health status goals and national health objectives...for meeting the needs specified in the State plan...[and]...an identification of the types of services to be provided..." Section 506(a)(2)(A)(iii) requires the States to report annually on the "...type (as defined by the Secretary) of services provided under this title..."

General Instructions:

A glossary of terms applicable to this form is presented in Section 10.1 of this document.

Complete all required data cells. If an actual number is not available, make an estimate. Please explain all estimates in a footnote. If neither actual data nor an estimate can be provided, the State must provide a footnote that describes a time framed plan for providing the required data. In such cases the "Annual Performance Objective" and "Annual Performance Indicator," lines are to be left blank.

This form serves two purposes: to show performance measures with 5-year planned performance objective targets for the application, and performance "Annual Performance Indicator," values actually achieved each year for the annual report for each national "core" and State "negotiated" performance measure.

For each level of the "Core Public Health Services Delivered by MCH Agencies" pyramid (see Figure 2) there will be a separate form with the "Service level" line already completed and the national measure(s) listed under the "Performance Measure" headings. Under the applicable "FY" heading, each State will complete the Annual Performance Objectives, the Annual Performance Indicators, and numerator and denominator data for each measure as described below under Specific Instructions. For State "negotiated" measures, enter these data on the form beginning with the first blank Performance Measure area under the national measure(s).

Specific Instructions:

In the first available space under "Performance Measure" on the appropriate form, enter the brief title of the State performance measure that has been selected. The titles are to be numbered consecutively with notations of "SP 1, SP 2," etc. Titles are to be written exactly as they appear on Form 16, "State Performance/Outcome Measure Detail Sheet."

For both national and State measures, in the lines labeled "Annual Performance Objective" enter a numerical value for the target the State plans to meet for the next 5 years. These values may be expressed as a number, a rate, a percentage, or "yes - no."

For both national and State measures, in the lines labeled "Annual Performance Indicator," enter the numerical value that expresses the progress the State has made toward the accomplishment of the performance objective for the appropriate reporting year. Note that the indicator data are to go in the years column from which they were actually derived even if the data are a year behind the "reporting" year. This value is to be expressed in the same units as the performance objective: a number, a rate, a percentage, or a "yes - no."

If there are numerator and denominator data for the performance measures, enter those data on the appropriate lines for the appropriate fiscal year. If there are no numerator and denominator data leave these lines empty. NOTE: Do not enter numerator and denominator data for National Performance Measures 02 and 14. These are scale measures and there is no numerator or denominator. State measures using scales similar to those in National Measures 02 and 14 should also NOT have numerator and denominator data entered on this form.

Repeat this process for each performance measure. A continuation page is included. If the continuation page is used, be sure to enter the number for each listed performance measure. If there are more than six national and State performance measures in a service level, make as many photocopies of the continuation page as necessary.